Antimicrobial utilisation surveillance

Vicki McNeil National Antimicrobial Utilisation Surveillance Program (NAUSP) Strengthening Antimicrobial Stewardship Sydney 20-22 May 2015



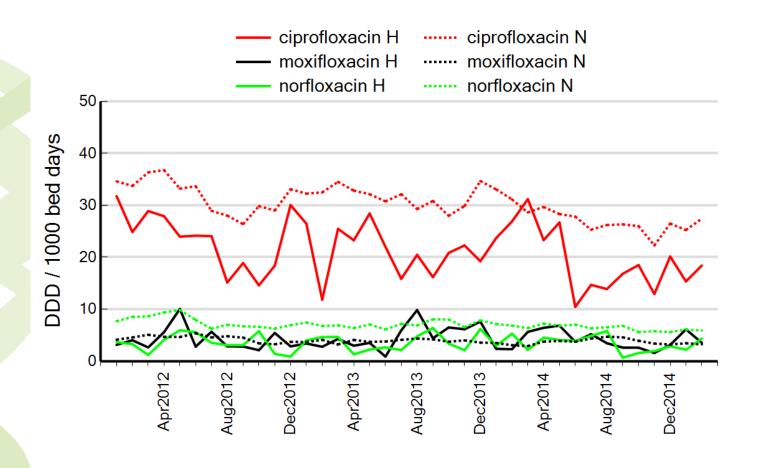
Antimicrobial surveillance

- What monitoring usage of antimicrobials in a standardised way to facilitate trend mapping and benchmarking
- Why Antimicrobial stewardship (AMS) monitoring used to evaluate impact of stewardship & target interventions
- > **How** methodology
 - internationally accepted standards
 - reproducible
 - applicable in a variety of situations

What is antimicrobial surveillance?

- Many ways of measuring antimicrobial usage:
 - Number of prescriptions / population
 - Number of days of therapy per admission
 - Grams of antimicrobial given
 - Defined daily doses (DDD) given per (measure of occupancy)
 - etc
- All these measures are volume based
 - Amount of antimicrobial converted to a rate
- Does not measure whether antimicrobials being used appropriately.

Examples of antimicrobial surveillance - trending data at hospital level



Measuring appropriateness of antimicrobial prescribing – quality-based surveillance

- Valid method of antimicrobial surveillance
- Usually involves audit techniques
 - Target particular antibiotic or antibiotic class
 - OR point prevalence survey 'snapshot' looking at all antimicrobials prescribed on one day or other time period
 - Need criteria for assessment of 'appropriateness', eg compliance with TG:antibiotic 15 or local guideline
 - Labour intensive collecting audit data and assigning 'appropriateness'
 - National Antimicrobial Prescribing Survey (NAPS) http://www.naps.vicniss.org.au/

Differences between volume-based & quality-based antimicrobial surveillance

	Volume-based	Quality-based
Labour intensive	No	Yes
Can benchmark with similarly peered hospitals	Yes	Yes (via NAPS)
Can assess quality of prescribing	No	Yes
Can show trends in usage	Yes	No (but maybe with annual NAPS)
Useful for meeting Standard 3.14.3	Yes	Yes

National Safety & Quality Health Service Standards Standard 3.14

> Surveillance is a key component

Draft National Safety and Quality Health Service Standards Guide for use in Hospitals

Criterion: Antimicrobial stewardship

Safe and appropriate antimicrobial prescribing is a strategic goal of the clinical governance system.

C/D	This criterion will be achieved by:	Actions required	Examples of evidence that can be used to demonstrate an action is being met. This is not a checklist. Use only those examples that show that you have met the Standards	Self assessment
С	3.14 Developing, implementing and regularly reviewing the effectiveness of the antimicrobial stewardship system	3.14.1 An antimicrobial stewardship program is in place	Policies, procedures and/or protocols consistent with national guidelines such as Therapeutic Guidelines: Antibiotic Agenda papers, meeting minutes and/or reports of committees related to antimicrobial stewardship Reports and recommendations from an antimicrobial management team Educational programs address antimicrobial usage, development of resistance, and judicious prescribing Audit of antimicrobial usage, particularly in high antimicrobial usage areas Restriction, approval or review systems are in place to guide the use of broad spectrum antimicrobials Referral process to specialist infection disease practitioner and/or microbiologist	□ MM □ SM □ NM → add to action plan
С		3.14.2 The clinical workforce prescribing antimicrobials have access to current endorsed therapeutic guid thres on ambiguic usage	Access by clinical workforce prescribing antimicrobials to current endorsed therapeutic guidelines on antibiotic usage	☐ MM ☐ SM ☐ NM → add to action plan
С		3.14.3 Monitoring of antimicrobial usage and resistance is undertaken	Prescribing guidelines, policies, procedures and/or protocols Agende, apens, meeting minutes and/or repeats of relevant committees include information on monitoring outcomes Medication audit Records of antibiotic consumption Reviews of antibiotic usage and feedback to prescriber Laboraco, based data including analysis of entimicrobial resistance Documented scope of practice for specialist proceduralists Observational audit of prescribing behaviour practices Standing orders for antimicrobial medication and prescribing	☐ MM ☐ SM ☐ NM → add to action plan
С		3.14.4 Action is taken to improve the effectiveness of antimicrobial stewardship	Same evidence options as 3.11.3	☐ MM ☐ SM ☐ NM → add to action plan

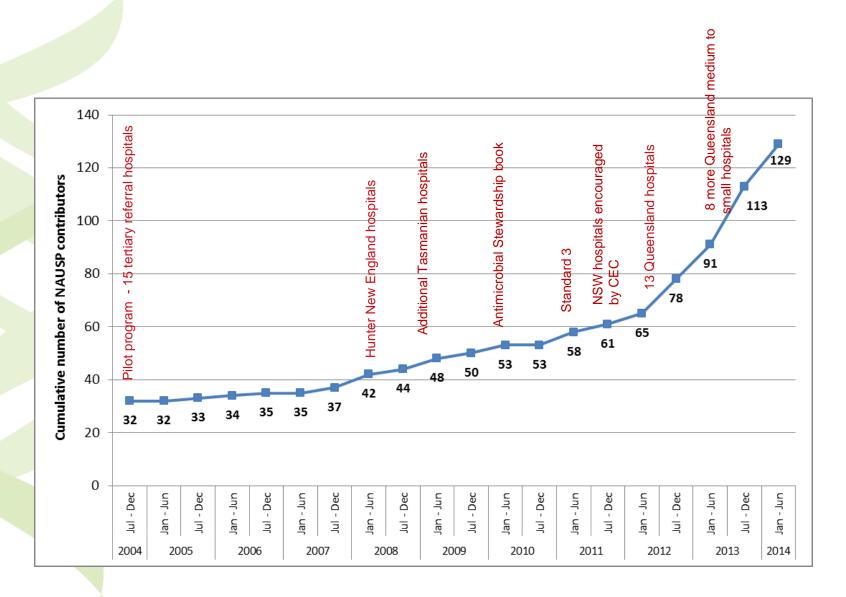
NAUSP

- National Antimicrobial Utilisation Surveillance
 Program
- Funded by Australian government through the Australian Commission on Safety and Quality in HealthCare (ACSQHC)
- > Managed by the Infection Control Service, SA Health
- Uses custom-built database to convert dispensing data to usage rates
 - Fox-pro database developed 2001
 - Upgraded in 2010 to a web-based version, using SQL server
 - Several enhancements since 2010

NAUSP....history

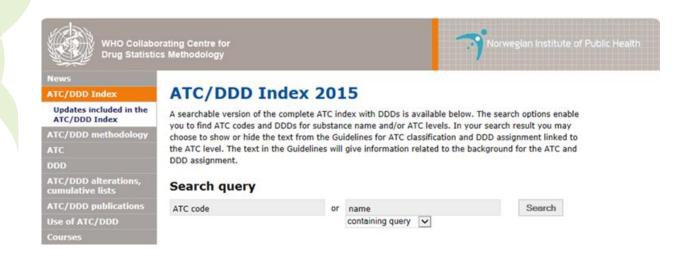
- > Based on a South Australian surveillance program commenced in 2001
- Dispensing data and OBD data from a range of SA public and private hospitals were submitted
- In 2004, pilot of 15 non-SA tertiary referral hospitals was commenced
- Successful and program expanded
- Initially large hospitals were 'targeted', now a range of medium and small facilities (50 beds or more)
- > In 2015 > 130 hospitals

NAUSP history cont...



NAUSP

- Volume-based surveillance
- Measures rates of antimicrobial usage in DDD per 1000 occupied bed days
- Defined daily dose (DDD) specified by the WHO ATC
 - http://www.whocc.no/atc_ddd_index



WHO ATC classification - examples

- > J Anti-infectives
 - J01 Anti-bacterials for systemic use
 - J01C Beta-lactams Penicillins
 - J01CA extended spectrum penicillins
 - J01CA01 ampicillin
 - J01CA04 amoxycillin
 - J02 Antimycotics
 - J02A Antimycotics for systemic use
 - > J02AC triazole antifungals
 - > J02AC01 fluconazole
 - > J02AC02 itraconazole

Antimicrobial usage - numerator

- NAUSP uses dispensing data as a measure of the antimicrobials which are used in hospitals
 - Assumes all antimicrobials dispensed are given to patients
- Data loaded by formulation of antimicrobial
 - Name
 - Strength
 - Formulation type (tablet, capsule, vial, mixture)
 - Quantity dispensed
- NAUSP stores data for systemic antimicrobial use
 - Oral, intravenous, mixtures not topical preparations e.g. ear drops
 - NAUSP database recognises which medicines are to be loaded and which to ignore

Antimicrobial usage - denominator

- > Occupied bed days (OBD)
 - Need a denominator measure to make usage into a rate
 - Can then compare hospitals of different sizes
 - NAUSP uses overnight OBD (i.e. occupancy at midnight)
- > Alternative denominators
 - Patient days
 - Separations
 - Admissions

Data requirements to contribute to NAUSP

- > Pharmacy dispensing system with ability to retrieve data elements required:
 - Antimicrobials defined through ATC classification (J01 – J05) or SHPA codes (4200000 through 4500000) or agents included in Chapter 5 of AMH
 - Quantity dispensed
 - Wards or specialties to which antimicrobials sent
 - Data by calendar month
- MS Excel format
- Occupancy data by ward
 - descriptions matching those for dispensing data

Other requirements

- Demographic information
 - Hospitals peered according to AIHW categorisation
 - NAUSP requests further information for 'included' beds
 - Acute adult inpatient
 - Paediatrics, psychiatry, outpatients, long-term rehab, day surgery and clinics excluded
 - Allows for more precise benchmarking of hospitals with similar activity (particularly private hospitals)
- Permission from hospital or LHN chief executive

Confidentiality of data

- Any reports available in public domain are de-identified. Each contributor is assigned an alpha-numeric code.
- The CEO / General Manager of each contributing hospital signs a Willingness to Participate form and receives letter outlining purpose of NAUSP.
- Signed WtP's maintained through Record's Management at SA Health

NAUSP website

Home » Clinical resources » Clinical programs » Antimicrobial stewardship

National Antimicrobial Utilisation Surveillance Program (NAUSP)

National Antimicrobial Utilisation Surveillance Program (NAUSP) is a national antimicrobial surveillance program run by SA Health and funded by the Australian government.

The program provides contributing hospitals with bimonthly and annual reports on their antibiotic usage rates, enabling them to compare their usage to similarly peered hospitals and thus identify areas for improvement.

Background

The NAUSP began in 2004 and is based on the South Australian Antibiotic Utilisation Surveillance program which commenced in 2001. Both programs continue to be run by staff of the SA Health Infection Control Service.

If you are interested in joining, email antibio@health.sa.gov.au.

Antimicrobial surveillance

Surveillance of antimicrobial usage is a fundamental component of antimicrobial stewardship within a health facility in order to target interventions to improve antimicrobial prescribing. It is also a required action for hospitals to comply with the National Safety and Quality Health Service Standards.

From a public health perspective, antimicrobial surveillance enables us to investigate links between antibiotic use and bacterial resistance.

Types of surveillance

Types of antimicrobial surveillance can be classified as being:

- volume-based (observing trends in quantities of antimicrobials used)
- quality-based (observing the appropriateness of antimicrobial prescribing).

NAUSP is an example of volume-based surveillance.

Quality-based surveillance includes point prevalence surveys and clinical audits. Data from these types of surveillance are labour-intensive but can enable assessment of appropriateness of prescribing and can be applied to any patient group or clinical setting.

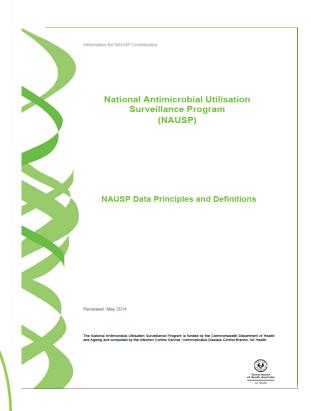


Related information

- Australian Commission on Safety and Quality in Health Care: Antimicrobial Stewardship resource materials
- Danish antimicrobial usage surveillance reports (DANMAP)
- Swedish antimicrobial usage surveillance reports (SwedRes)

Related resources

- Data principles and definitions (PDF 393KB)
- Demographic survey for contributors (DOCX 226KB)
- Data submission explanatory notes (PDF 423KB)
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Information for NAUSP Contributor

Data Principles

Numerator: Antimicrobial usage data

The quantity of each antimicrobial agent used per month within the included wards or

Key Principles:

- The dataset indicates the monthly usage of each antimicrobial for acute adult
 inpatient wards (see inclusions and Exclusions), expressed as number of <u>DDD</u>.
- · 'Antimicrobial' refers to all relevant anti-infective agents for systemic use within the World Health Organization (WHO) Anatomical Therapeutic Chemical (ATC) classification system (J01 antibacterials, J02 antimycotics, J05 antivirals and J04AB02 rifampicin). The only topical anti-infective for which data is currently collected is
- Raw usage datasets contain the number UNITS or PACKS used of each antimicrobial agent during the month. These are obtained by the contributor from their local pharmacy dispensing system (e.g. iPharmacy or Merlin).
- . During data processing, NAUSP converts the quantity of UNITS or PACKS used of ch agent to a number of DDD so that a monthly usage density rate can be calculated for each agent.
- All inpatient supply should be included both imprest/ward stock usage and individual

Dataset Rules:

- . Usage datasets must be sent in an Excel spreadsheet format with distinct columns for each element - see Data F
- DRUG DESCRIPTION and QUANTITY are the minimum required elements, but other information such as WARD DESCRIPTION and UNIT DESCRIPTION assists with data interpretation so can be included. Please ensure there are no merged highlighted or blank cells within the spreadsheet, and that there are no embed
- Data should be presented as quantities of UNITS, if only PACK data is available for all
 or part of the usage dataset, please inform NAUSP and supply the PACK data separately to the UNIT data as this is processed differently.
- It is preferable if WARD DESCRIPTION is included. If WARD DESCRIPTION is not
 included in the dataset, NAUSP takes no responsibility for ensuring the necessary exclusions and will accept all data as appropriate inclusion.
- . Removal of antimicrobial usage data from excluded wards should be made prior to
- · If UNIT DESCRIPTION is not included in the dataset, NAUSP will assume all quantities refer to the appropriate units - see Accepted Unit Types.
- Any agents or dosage forms not required by NAUSP will be discarded automatically during processing (e.g. topical preparations). However, for ease of processing, contributors should only include the relevant drugs within the dataset (i.e. remove drugs that are not antimicrobials)
- . The UNITS used for ORAL LIQUID formulations is 'number of bottles' NOT 'number of millilitres'. Non whole numbers are accepted for part-bottles - see Data Elements

May 2014 Version 2.2

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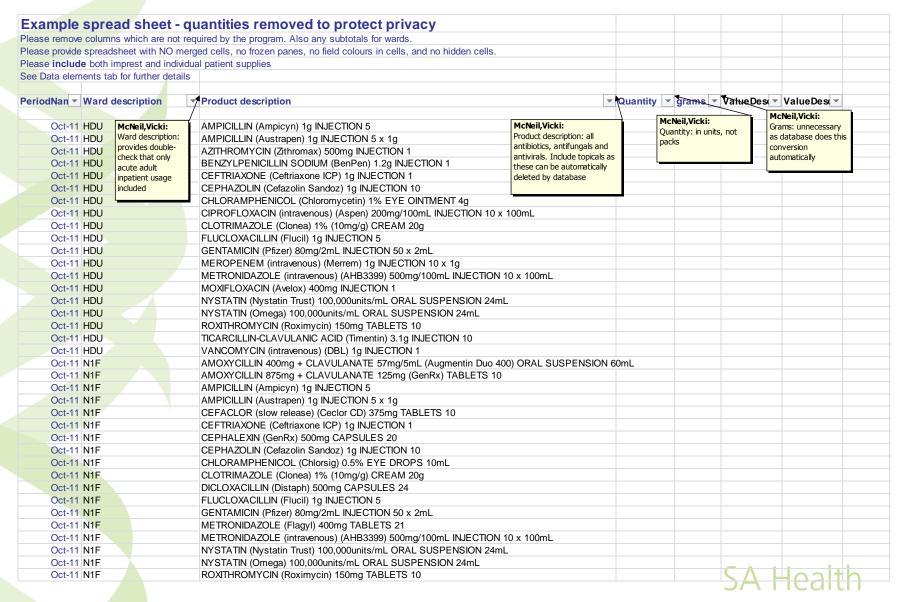
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Numerator – pharmacy dispensing records example data



Denominator – Occupied Bed Days example data

Ward Identifier	Ward Name	Jul-12	
N1F	N1F N1F Acute Aged Care		
N1G	N1G N1G Rehabilitation		
N2F	N2F N2F Stroke,Medical		
N3B	N3B MAU, Opera		
N3H	N3H E3H - Surgical Orthopaedics		
N3I	N3I E3I - General Surgery		
N3W	N3W Medical Oncology		
N4B	N4B W4B Surgical	SL	
N4C	N4C W4C Surgical	Sor	
N4D	N4D Medical Oncology	ea	
N5A	N5A W5A Medical		
N5B	N5B W5B Medical	/ac	
NAI	NAI Intensive Care Unit	Dri's	
NAM	NAM Antenatal Maternity	or p	
NAP	NAP Ambulatory Procedure Centre	× fc	
NCL	NCL Cardiac Cath Lab	Numbers left blank for privacy reasons	
NCW	NCW Childrens Ward	bla	
NDA	NDA Centre for Drug & Alcohol Medicine	eft	
NDS	NDS Delivery Suite	S C	
NED	NED Emergency Observation Ward	Je C	
NEM	NEM Emergency Medical Unit	mb	
NEN	NEN Endoscopy	Nu	
NFM	NFM Feto Maternal Assessment Unit		
NHU	NHU Inpatient Haemodialysis Unit		
NNB	NNB Newborn Babies		
NNC	NNC Neonatal Intensive Care Unit		
NON	NON Medical Oncology Department		
NPE	NPE Psychiatric Emergency Care Centre		
NPM	NPM Postnatal Maternity		
NPU	NPU Pialla Unit		
NRD	NRD Penrith Community Dialysis Centre		
NRS	NRS		
	Non-ICU	Sum - (yellow + blue)	
	ICU	U Blue	

NAUSP coverage of Australian public hospitals (May 2015)

- > Principal Referral 29 contributors (100%)
- Specialist Women 2 contributors (33%)
- Large Public Acute 53 contributors (84%)
- Medium Public Acute 33 contributors (76%)
- Small Public Acute with Surgery / Obstetrics –
 12 contributors (32% of small hospitals with >
 50 beds)
- In addition there are 19 Private Hospital contributors.

Data validation processes

- Caveat NAUSP assumes that data submitted (numerator and denominator) are accurate and only include 'included' wards – "rubbish in, rubbish out"
- > Validation processes:
 - Automated process to check if quantities for each agent are 'reasonable' – flags anything outside the range of:
 - > twice the average quantity for the previous year for that hospital
 - < half the average quantity for the previous year for that hospital
 - Oral liquid antimicrobials > 20 bottles

Examples of NAUSP automated data validation

Loading process: quantities outside a usual range:

The following records have warnings					
Antibiotic	Quantity	Warning			
AMOXYCILLIN with CLAVULANIC ACID 4.8g, ORAL LIQUID	45	Oral liquid quantity >20			
AMPICILLIN 1g, PARENTERAL	74	Quantity < 50% of average usage (916.833)			
ANIDULAFUNGIN 100mg, PARENTERAL	64	Quantity > 200% of average usage (15.750)			
BENZYLPENICILLIN 600mg, PARENTERAL	920	Quantity > 200% of average usage (436.417)			
CLARITHROMYCIN 250mg, ORAL	710	Quantity < 50% of average usage (1480.167)			
FLUCONAZOLE 200mg, PARENTERAL	138	Quantity > 200% of average usage (35.917)			
TRIMETHOPRIM with SULFAMETHOXAZOLE 4.8g, ORAL LIQUID	100	Oral liquid quantity >20			

> Pharmacist review – decision to proceed with loading or otherwise

Examples of NAUSP semi-automated data validation

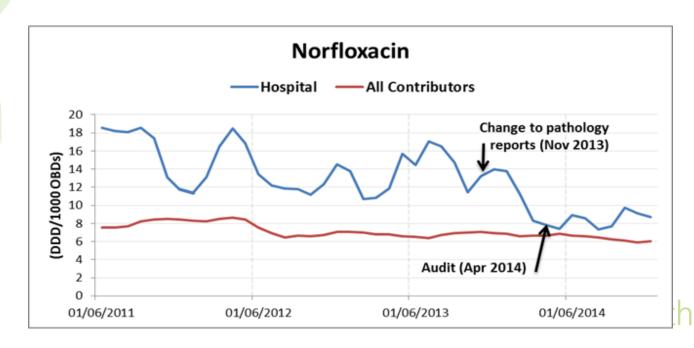
- Database recognises that it has not processed this item before.
 - Pharmacist determines:
 - If can be aliased to an antimicrobial already in the system, OR
 - Add as a 'new' antibiotic, OR
 - Discard (all future occurrences of this antimicrobial will be ignored)

Please resolve the following unknown antibiotics						
Unknown Antibiotic	Alias	New	Discard			
cephaZOLin (Hospira cefaZOLin) 1g injection Pack: 5	Set Alias	Add New	<u>Discard</u>			
Fluconazole (Diflucan) 100mg capsule Pack: 28	Set Alias	Add New	<u>Discard</u>			
Fluconazole (Diflucan) 50mg capsule Pack: 28	Set Alias	Add New	<u>Discard</u>			
Amphotericin B (SAS) (Fungizone) 50mg infusion Pack: 1	Set Alias	Add New	<u>Discard</u>			
Clindamycin 300mg/2mL injection Pack: 10	Set Alias	Add New	Discard			
cIPROFLOXAcin (CiloQuin) 0.3% , EYE DROP 5mL	Set Alias	Add New	Discard			

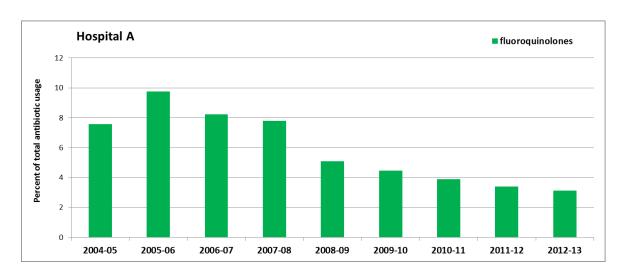
Other quality assurance processes

- Cyclical basis each hospital QA'd every 6 months, i.e. one third of hospitals every 2 months
 - Previous 12 months data analysed
 - Rates outside a range of average ± 2 SD investigated:
 - Automatically generated report for 'outliers'
 - NAUSP staff manually check data entered to database against data sent from contributor
 - Labour-intensive
- Half-yearly check NAUSP has aliased antimicrobials correctly
- Annually check WHO defined daily dose for amendments

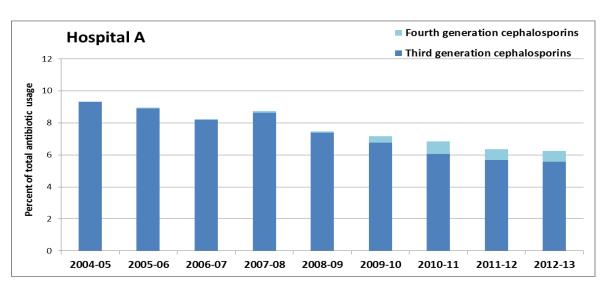
- NAUSP reports showed norfloxacin use > national comparator
- In house audits conducted to assess appropriateness of prescribing
- AMS committee addressed specific prescribers to highlight inappropriate use
- The reporting of UTI pathology results was altered to offer norfloxacin as a sensitive antibiotic only if the specimen was resistant to other first-line antibiotics



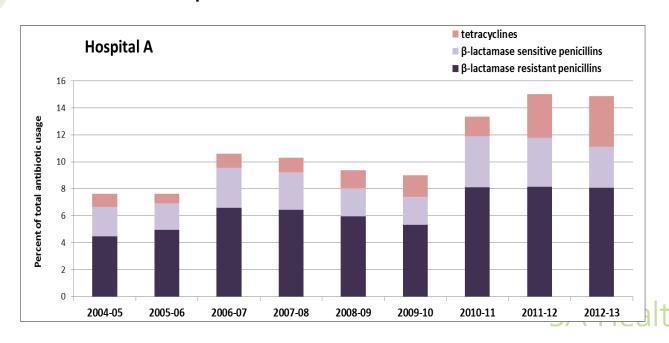
- Showing change in "prescribing culture" introduction of electronic approval and decision support system
- Annual rates of broad-spectrum antibiotics declined
- Narrow-spectrum ratio increased



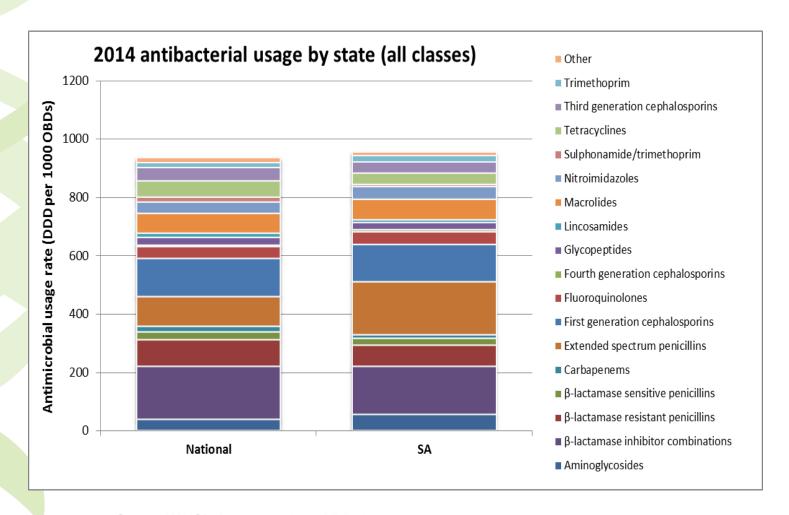
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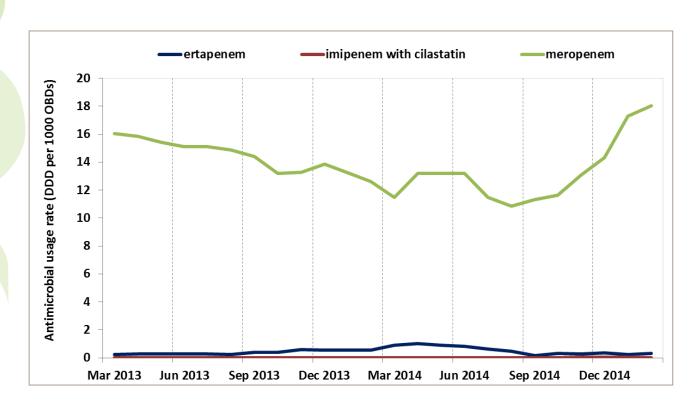
Examples of surveillance data - comparison between state and national averages



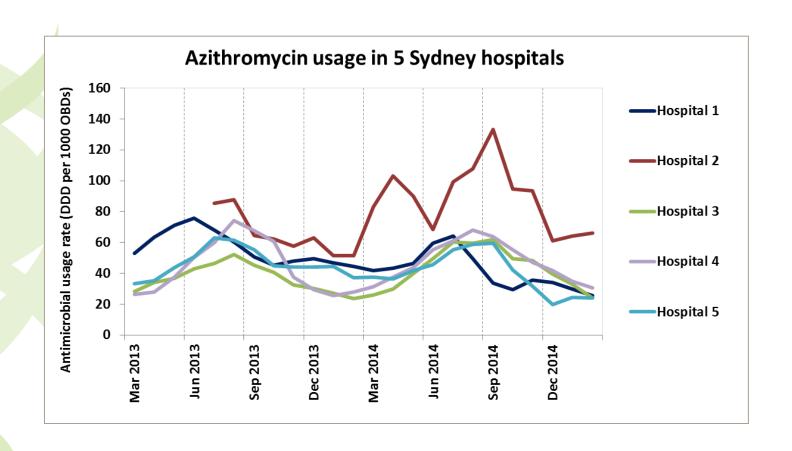
Source: NAUSP data - yet to be published

Using surveillance data – state level

 Carbapenem usage in SA metropolitan hospitals (n=13) over a three year period

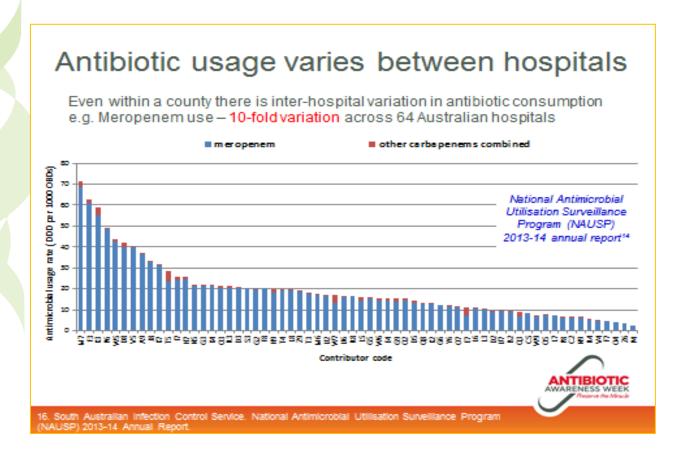


Using surveillance data – local health district level



Using surveillance data – national level

> Antibiotic Awareness Week 2014



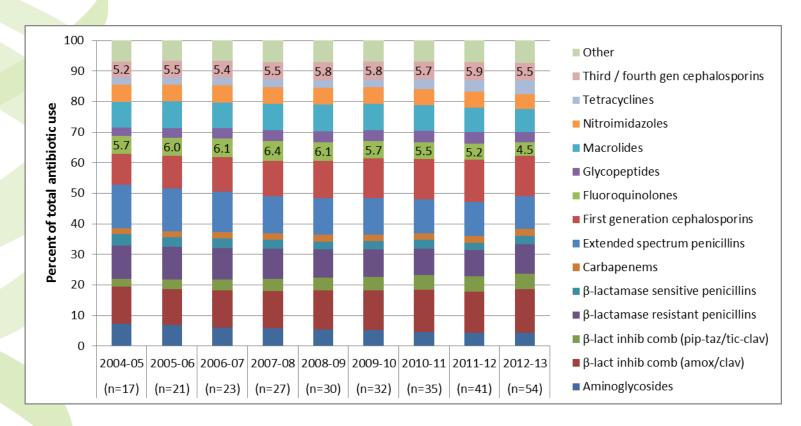
Source: NAUSP Annual Report 2013-14

http://www.sahealth.sa.gov.au/wps/wcm/connect/public+content/sa+health+internet/about+us/health+statistics/healthcare+infection+statistics/antimicrobial+utilisation+surveillance+statistics



Using surveillance data – national level

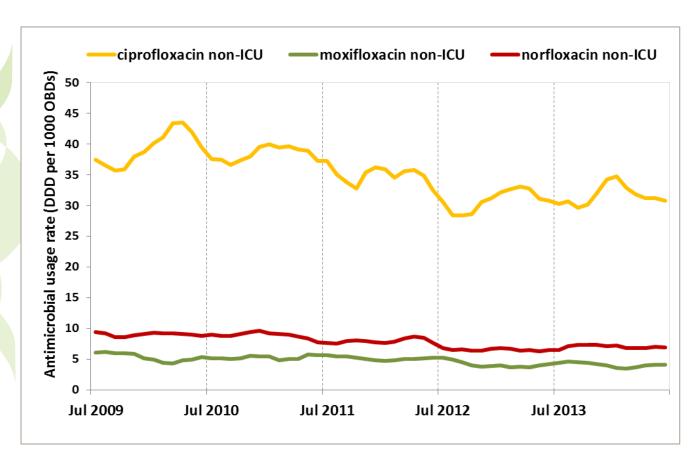
- Monitor change in prescribing practices nationally
- Fluoroquinolone usage rates have declined at national level



Source: McNeil V, Wilkinson I. Fluoroquinolone and third & fourth generation cephalosporin usage in Australian tertiary hospitals. PO2.16 ASA 2014

SA Health

Examples of surveillance data - trending data at national level



Source: NAUSP Annual Report 2013-14

http://www.sahealth.sa.gov.au/wps/wcm/connect/public+content/sa+health+internet/about+us/health+statistics/healthcare+infection+statistics/antimicrobial+utilisation+surveillance+statistics



Limitations of NAUSP data

- No patient specific data volume-based, population data
- > Benchmarking are apples being compared with apples?
- Problems with WHO-defined DDDs
 - examples erythromycin, piperacillin/tazobactam
- DDD not applicable to paediatric surveillance data
- Very small hospitals < 50 beds what is meaningful data?
- NEVERTHELESS useful and relatively easy method of commencing antimicrobial surveillance

Conclusion

- > Antimicrobial surveillance integral part of AMS
 - Assists AMS committees with targeting of limited AMS resources to achieve greatest benefit
 - Direct prescriber feedback major strategy in changing prescribing behaviour
 - Provides evidence to hospital governance of success of AMS strategies
 - Fulfils NSQHS Standard 3.14.3
- NAUSP surveillance
 - Limited to acute inpatient antimicrobial use
 - Volume-based data does not assess appropriateness of use
 - Relatively easy method of data collection use as 'trigger' for further AMS interventions

Acknowledgements

- > Australian Commission on Safety and Quality in HealthCare (ACSQHC)
- > Colleagues Infection Control Service, CDCB, SA Health
- > AMS pharmacists and others at contributing hospitals for data supply

FOR FURTHER INFORMATION:

NAUSP website: www.sahealth.sa.gov.au/nausp

Email: antibio@health.sa.gov.au

